

HEWLETT PACKARD COMPANY
Intellectual Property Administration
P.O. Box 272400
Fort Collins, Colorado 80527-2400

PATENT APPLICATION

#2

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S): James O. Schreckengast; Claus Scaanning; Douglas M. Gilbert

CASE: 10014342-1

SUBJECT: INVESTMENT ANALYSIS TOOL AND SERVICE FOR MAKING
INVESTMENT DECISIONS



THE ASSISTANT COMMISSIONER OF PATENTS
WASHINGTON, D.C. 20231

SIR:

INFORMATION DISCLOSURE STATEMENT

Applicant is herewith enclosing a form PTO-1449 and five references. The five references provide background information about automated investment tools. The following book may be consulted for additional background information: Robert R. Trippi and Jae Kyu Lee, *Artificial Intelligence in Finance and Investing: State-of-the-Art Technologies for Securities Selection and Portfolio Management*, Irwin Professional Publishing, Burr Ridge, Illinois 1995.

Respectfully Submitted,

By Douglas L. Weller
Douglas L. Weller
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Date: February 18, 2002
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PATENT APPLICATION

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 10014432-1	SERIAL NO.
	APPLICANT James O. Schreckengast, et al.	
	FILING DATE	GROUP

1002 U.S. PTO
10/07/97
02/19/02

REFERENCE DESIGNATION**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	AA	5,761,442	6/2/98	Barr et al.		
	BB	4,346,442	8/24/86	Musmanno		
	CC	5,873,071	2/16/99	Ferstenberg et al.		
	DD	4,674,044	6/16/87	Kalmus		
	EE	4,752,877	6/21/88	Roberts et al.		
	FF					
	GG					
	HH					
	II					
	JJ					
	KK					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	MM					
	NN					
	OO					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	PP	
	QQ	
	RR	
	SS	

Examiner	Date Considered
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